

APPROVED

by Decision No V 2024/(1.160.E-9004)-441-1  
of the Financial Market Supervision Committee  
of 4 January 2024

## FINANCIAL MARKET PARTICIPANTS' INSPECTION PLAN\*

Financial market participant	Inspection type (general, targeted, assessment visit)	Areas under inspection	Inspection period**	
			start	end
<b>Banks and Central Credit Unions</b>				
UAB SME Bank	Targeted	Issues of anti-money laundering and counter terrorist financing (AML/CTF)	Q1	Q1
UAB Medicinos bankas	Targeted	Issues of AML/CTF	Q2	Q2
Bank X***	Targeted	Issues of AML/CTF	Q4	Q4
Finora Bank UAB	Targeted	Separate issues of managing credit risk, information and communication technology (ICT) risk, security and operational risks	Q4	Q4
<b>Insurance Undertakings</b>				
Insurance undertaking X	Targeted	Separate issues of managing ICT, security and operational risks	Q3-Q4	Q4
Compensa Vienna Insurance Group, ADB	Assessment visit	Assessment of the partial internal model stability and accuracy of the results	Q4	Q4
<b>3</b> insurance undertakings and/or branches of insurance undertakings of other EU Member States	Assessment visit	Insurance product supervision and management process	Q2	Q4
<b>Financial Brokerage Firms</b>				
Revolut Securities Europe UAB	Assessment visit	Provision of investment services (disclosure of information, conclusion of an agreement, categorisation of a client, assessment of suitability and appropriateness, acceptance and fulfilment of orders, reporting and handling of complaints)	Q3-Q4	Q3-Q4
<b>Credit Unions</b>				
Credit union X	Targeted	Separate issues of ensuring internal governance and internal control; credit risk management, liquidity risk management and other issues on demand	Q3-Q4	Q3-Q4
<b>Electronic Money and Payment Institutions</b>				
<b>Up to 3</b> selected electronic money and/or payment institutions	Targeted	Requirements for the implementation of international sanctions	Q1	Q1

UAB Pyrros Lithuania	Targeted	Issues of AML/CTF	Q1	Q1
AB ZEN.COM	Targeted	Issues of AML/CTF, prudential and operational management requirements	Q1	Q2
UAB Monavate	Targeted	Issues of AML/CTF, prudential and operational management requirements	Q2	Q3
UAB Pervesk	Targeted	Issues of AML/CTF	Q2	Q3
UAB ConnectPay	Targeted	Issues of AML/CTF	Q3	Q4
DiPocket UAB	Targeted	Issues of prudential and operational management requirements	Q3	Q4
<b>Up to 5</b> selected electronic money and/or payment institutions	Targeted	Issues of AML/CTF	Q1	Q4
<b>Up to 3</b> selected electronic money and/or payment institutions	Targeted	Issues of prudential, operational management and/or IT risk management requirements	Q1	Q4
<b>Management Companies</b>				
UAB SEB investicijų valdymas	Targeted	Pension fund management activities	Q3-Q4	Q3-Q4
<b>Issuers</b>				
<b>Up to 2</b> undertakings, the exact ones will be determined following a selection procedure (August)	General or targeted (will be determined following a selection procedure)	Compliance of the accounting and financial statements with applicable accounting standards and compliance of the annual report and the social responsibility report with legal acts	Q3-Q4	Q3-Q4
<b>Independent Credit Intermediaries Related to Real Estate</b>				
<b>3</b> credit intermediaries	Assessment visit	Activities of a credit intermediary	Q2	Q4

\* This plan does not include inspections at banks, the competent supervisory authority of which is the European Central Bank. In line with current practices, it does not publicly announce the plan for inspections.

\*\* Inspections and assessment visits can be carried out either on-site or remotely.

\*\*\* Financial market participant X will be selected in the course of the year.