

APPROVED
by Decision No 2023/(1.160.E-9004)-441-12
of the Financial Market Supervision Committee
of 17 January 2023

FINANCIAL MARKET PARTICIPANTS INSPECTION PLAN FOR 2023*

Financial market participant	Inspection type** (general, targeted, assessment visit)	Areas under inspection	Inspection period	
			start	end
Banks and CCUs				
Revolut Bank UAB	Targeted	Separate issues of internal governance and ensuring internal control; separate issues of operational, ICT and security risk management, anti-money laundering and terrorist financing (AML/CTF)	Q3-Q4	Q4
Swedbank	Targeted	AML/CTF issues	Q3	Q4
UCCU	Targeted	Separate issues of internal governance and ensuring internal control; credit risk management, liquidity risk management and other issues as appropriate	Q4	Q4
Credit unions				
LTL credit union	Targeted	AML/CTF issues	Q2	Q3
Electronic money and payment institutions				
FINANSINĒS PASLAUGOS "CONTIS", UAB	Targeted	ICT and security risk management, AML/CTF issues	Q1	Q1
UAB Rebellion Fintech Services	Targeted	Separate issues of operational management, prudential requirements and AML/CTF	Q1	Q1
UAB Guru Pay	Targeted	Separate issues of operational management, prudential requirements, ICT and security risk management, AML/CTF	Q1-Q2	Q2
UAB Travel Union	Targeted	Separate issues of operational management, prudential requirements and AML/CTF	Q1	Q2
UAB PHOENIX PAYMENTS, UAB	Targeted	AML/CTF issues	Q2	Q3

UAB Maneuver LT	Targeted	Separate issues of operational management, prudential requirements, management of delegated operational functions and AML/CTF	Q3	Q4
Majestic Financial, UAB	Targeted	Separate issues of operational management, prudential requirements and AML/CTF	Q3	Q3
UAB Silvergate LT	Targeted	Separate issues of operational management and prudential requirements	Q4	Q4
Management companies				
Goindex, UAB	Visit	Pension fund management activities	Q1-Q2	Q2-Q3
UAB I Asset Management	General	Collective investment undertaking management activities	Q3-Q4	Q4 2023-Q1 2024
Issuers				
Up to 2 undertakings, the exact ones will be determined following a selection procedure (July)	General or targeted (will be determined following a selection procedure)	Compliance of accounting and financial statements with applicable accounting standards and compliance of the annual report and the social responsibility report with legislation	Q3-Q4	Q3-Q4

*This plan does not include inspections at banks, the competent supervisory authority of which is the European Central Bank. In line with current practices, the European Central Bank does not publicly announce the plan for inspections within its competence.